

Microstructure and mechanical properties of Al₂O₃–Al composite coatings deposited by plasma spraying

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Abstract

Al₂O₃ and Al₂O₃–Al composite coatings were prepared by plasma spraying. Phase composition of powders and as-sprayed coatings was determined by X-ray diffraction (XRD), while optical microscopy (OM) and scanning electron microscopy (SEM) were employed to investigate the morphology of impacted droplets, polished and fractured surface, and the element distribution in terms of wavelength-dispersive spectrometer (WDS). Mechanical properties including microhardness, adhesion and bending strength, fracture toughness and sliding wear rate were evaluated. The results indicated that the addition of Al into Al₂O₃ was beneficial to decrease the splashing of impinging droplets and to increase the deposition efficiency. The Al₂O₃–Al composite coating exhibited homogeneously dispersed pores and the co-sprayed Al particles were considered to be distributed in the splat boundary. Compared with Al₂O₃ coating, the composite coating showed slightly lower hardness, whereas the coexistence of metal Al phase and Al₂O₃ ceramic phase effectively improved the toughness, strength and wear resistance of coatings.

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Keywords: Plasma spraying; Al₂O₃–Al composite; Microstructure; Mechanical properties

1. Introduction

Thermal spraying process has been used successfully to produce a range of protective coatings for wear, erosion and heat resistance, as well as restoration of worn parts [1–3]. Especially, oxide ceramics such as Al₂O₃ ceramic coatings, having superior hardness, chemical stability and refractory character, are commonly utilized to resist wear by friction and solid particle erosion [4,5]. As a surface modification technique, atmospheric plasma spraying (APS) has been well-established to deposit various coatings [1,6]. However, plasma-sprayed coatings built up from the successively immediate solidification of the liquid or partially melted droplets onto target substrate typically present weak interface between splats and irregular reticula of microcracks and pores running through it [3,7]. The porosity and weak interface adversely affect the wear property and the cracks allow corrosive substance in the environment to attach the protective

coating. In addition, these ceramic coatings are also vulnerable to thermal fatigue and delamination under mechanical load for its intrinsic brittleness. So, the application of plasma-sprayed Al₂O₃ coating are restricted in many industrial fields such as wear and erosion resistance, especially under increasingly severe conditions by the combination of intrinsic brittleness and microstructural defects [4,8]. In recent years, numerous studies have been conducted to improve the microstructure and resulting performance of plasma-sprayed ceramic coatings by incorporating metallic second phases into ceramic matrices [9,10]. Dong et al. [9] reported that the composite coatings prepared by plasma spraying Fe₂O₃–Al self-reaction composite powders possessed multiphase metal and ceramic coexistence, which significantly decreased the brittleness and increased the wear resistance of coatings even when the load was up to 490 N. In Chwa et al.'s [10] study, plasma-sprayed nanostructured TiO₂–Al composite coatings were prepared, and the results obtained from experimental work showed that the Al addition effectively improved the deposition efficiency and mechanical properties of coatings including toughness and wear resistance.

In this work, aluminum, having excellent ductility and thermal conductivity, was added to prepare Al₂O₃–Al

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composite coating. The present study is related to detailed characterization of microstructure of Al_2O_3 and Al_2O_3 –Al composite coatings deposited by plasma spraying, and to understand the effect of Al additive on the microstructure, mechanical properties and the tribological behaviors of coatings under different degree of severity using block-on-ring configuration.

2. Experimental details

2.1. Spray powder preparation

The commercially available Al_2O_3 powders with an average particle size of $33.5\ \mu\text{m}$ were used as a feedstock in the present study. The raw feedstock has the purity $>99.0\ \text{wt.}\%$ of Al_2O_3 component. And also, fine aluminum powders with an average particle size of $1\text{--}2\ \mu\text{m}$ were used as an additive to prepare the other feedstock of Al_2O_3 –Al composite. The composite powders with a content of around 5% Al were directly mechanically mixed in a rotary-vibration mill, polyvinyl alcohol being used as a binder, and then suffered sieving and drying prior to the spraying. The concept of spraying Al_2O_3 –Al composite powder design in this study is schematically shown in Fig. 1.

2.2. Plasma spraying

The Metco A-2000 atmospheric plasma spraying equipment with F4-MB plasma gun (Sulzer Metco AG, Switzerland) was applied to prepare Al_2O_3 and Al_2O_3 –Al composite coatings. The feedstock powders were fed with a Twin-System 10-C (Plasma-Technick AG, Switzerland). A mixture of argon and hydrogen was used as plasma gas. During spraying, the substrates and coatings were cooled using compressed air. Stainless steel coupons with dimension of approximately $50\ \text{mm} \times 20\ \text{mm} \times 2\ \text{mm}$ were used as substrates. Before spraying, the substrates were degreased ultrasonically in acetone and grit blasted with corundum. In addition, the plasma torch was utilized to spray powders onto the unheated quartz substrate in order to observe the spreading and flattening morphology of impacted droplets. The details of operating spraying parameters are listed in Table 1.

2.3. Characterization

The phase composition of the powders and as-sprayed coatings was analyzed using XRD with nickel filtered $\text{Cu K}\alpha$

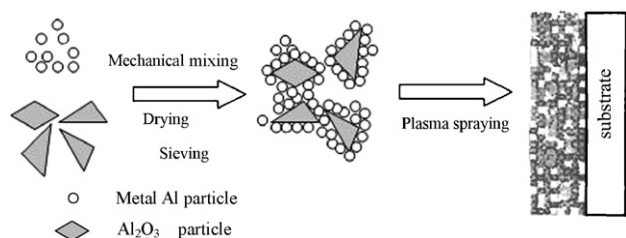


Fig. 1. The schematic diagram showing the concept of spraying powder design of Al_2O_3 –Al composite.

Table 1
Plasma spraying parameters for Al_2O_3 and Al_2O_3 –Al composite powders

Parameters	Unit	Value
Arc current	A	660
Primary gas (Ar) flow rate	slpm	49
Secondary gas (H_2) flow rate	slpm	12
Spray distance	mm	110
Carrier gas (Ar) flow rate	slpm	3.5
Injection diameter	mm	1.8

($\lambda = 1.54056$) radiation on a Rigaku D/Max2550 Diffractometer. The microstructure of powders and coatings was determined employing an EMPA-8705 QH₂ electron probe analyzer (Shimadzu, Tokyo, Japan) with affiliated wavelength-dispersive spectrometer (WDS) detector. The morphology of individual and multiple impinged droplets collected on unheated quartz substrates was observed using optical microscopy (Instron, 2100B).

Porosity of coatings was estimated by quantitative image analysis. Ten fields are selected for the measurement of porosity [11]. Vickers microhardness measurements were conducted on the polished cross-section of coatings using a HX-1000 Microhardness Tester under a load of 200 g with a dwell time of 15 s. The coatings hardness values represent the average of 20 single measurements. The toughness of the coatings was calculated by measuring the crack length originating from the corners of the Vickers indentation (Instron 2100B) with a load of 5 kg applied for 10 s [12–14]. To avoid the effect of stress field, the distance between nearby indentations was kept longer than three times of the indentation diagonal for microhardness and toughness measurement [15]. Although not providing the absolute value of fracture toughness like that measured by the tapered double cantilever beam (TDCB) approach [16,17], the results obtained using this indentation technique can provide a sound relative comparison of the fracture toughness of various coatings [13,18].

Preliminary three-point bending tests (Instron 5566) of the free-standing coatings specimens ($3\ \text{mm} \times 4\ \text{mm} \times 36\ \text{mm}$) were done with a cross-head speed of $0.5\ \text{mm}/\text{min}$ and a span length of 20 mm to obtain the bending strength. To obtain the free-standing samples used in this study, coatings of 5 mm thickness were deposited onto the aluminium substrate and, then, were removed from the substrate. During spraying, the backs of substrates were cooled using circulating water, while the coating surfaces were cooled by compressed air. Adhesion strength of coatings was evaluated by tensile adhesion tests (Instron 5592) according to ASTM C 633-79. Five measurements were performed to determine the average bending and tensile adhesion strength for each coating.

The friction and wear behavior of coatings against stainless steel were evaluated under different degrees of severity using block-on-ring arrangement. The dimensions of block and ring are $30\ \text{mm} \times 7\ \text{mm} \times 6\ \text{mm}$ and $\Phi_{\text{outer}}40 \times \Phi_{\text{inner}}16 \times 10\ \text{mm}$. The thickness and surface roughness of coatings, applied onto stainless steel ring, were around 320 and $0.2\ \mu\text{m}$ after polishing, respectively. Prior to testing, the samples were cleaned in an ultrasonic bath with acetone for 15 min. When considering the

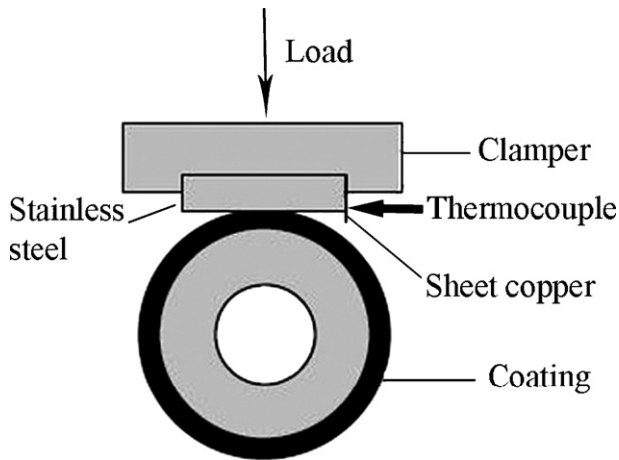


Fig. 2. Schematic diagram of the block-on-ring tester equipped with a thermal couple.

scatter of data, the friction coefficients and wear rate were the average of three identical samples. During the frictional testing, a thermocouple, as indicated in Fig. 2 by schematic diagram, was employed to measure friction temperature, which can be used to perform a sound comparison of the tribological heat generated and concentrated on the contact area of friction pairs under different conditions.

3. Results and discussions

3.1. Characterization of spraying powders

Fig. 3 shows the morphologies of the as-received feedstock powders. It can be seen that the Al_2O_3 particles, showing an irregular and angular morphology, were nearly wrapped well by fine Al particles, which is confirmed by the internal morphology of Al_2O_3 –Al composite powders, as shown in Fig. 4. And also, the result of particle size distribution, as indicated in Fig. 5 by a laser scatter particle size analyzer, shows no significant difference in these two feedstock powders.

Fig. 6 presents the XRD patterns of the powders and as-sprayed Al_2O_3 and Al_2O_3 –Al composite coatings. It is found that the original powders are nearly all α - Al_2O_3 . However, the coatings consist of γ - Al_2O_3 phase coexisting with some α - Al_2O_3 phase. It is suggested that the preferential formation of γ - Al_2O_3 is attributed to the high cooling rate (about 10^6 K/s) of the molten particles during plasma spraying and easy nucleation of γ - Al_2O_3 from the melt superior to α - Al_2O_3 thanks to lower interfacial energy between crystal and liquid [19,20]. The phase formation is known to be related to the melting of in-flight spray particles. The improvement of melting degree contributes the content of γ - Al_2O_3 [21]. From Fig. 6, it is evident that the relative intensity of γ - Al_2O_3 in the composite coating is higher than pure alumina coating. This may be resulted from the improved heating of particle contributed by addition of Al on the surface. On the other hand, from Fig. 6, it also can be seen that the metal Al phase clearly appears in Al_2O_3 –Al feedstock particles, but is hardly found in the surface of corresponding composite coating. This

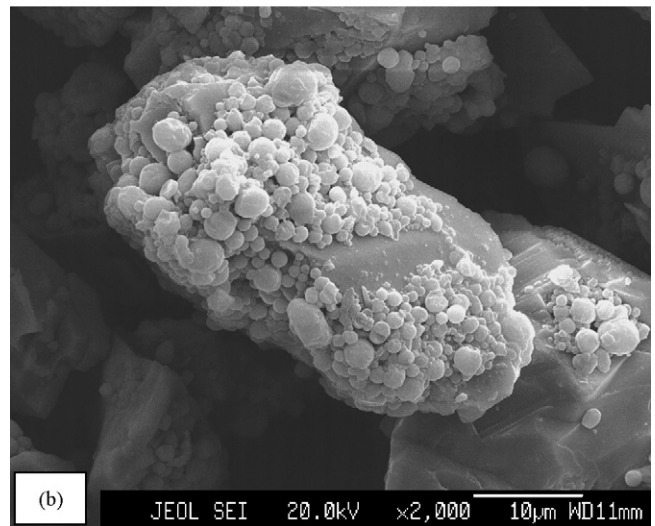
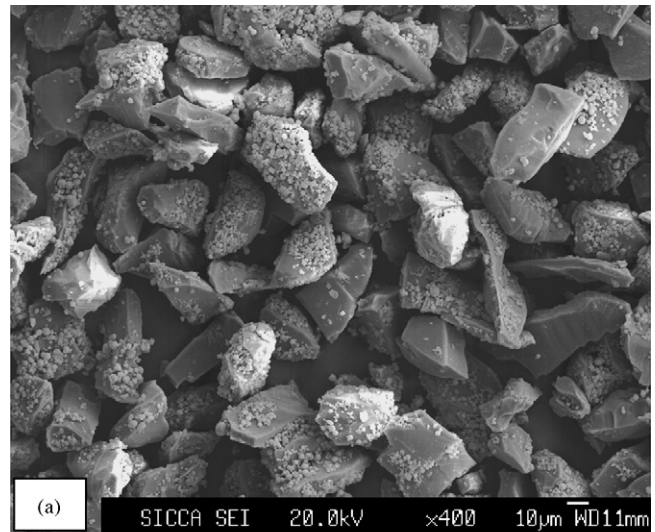


Fig. 3. SEM micrographs of Al_2O_3 –Al composite powders.

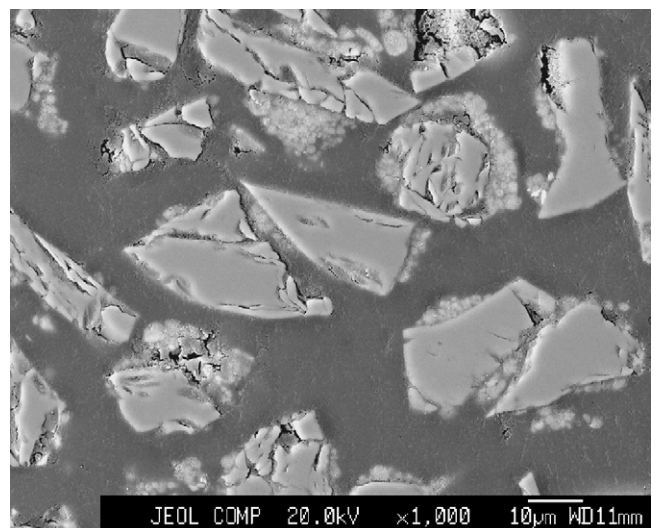


Fig. 4. SEM analysis of internal morphology of Al_2O_3 –Al composite powders.

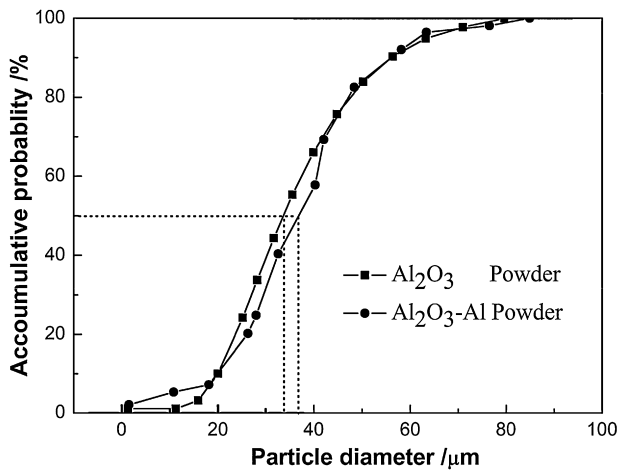


Fig. 5. Particle size distribution of both feedstock powders measured by a laser scattering method.

difference may arise due to the limitation of XRD technique for characterizing too low content of certain phase. In order to further investigate the existence and distribution of Al phase within Al_2O_3 matrix, the WDS element analysis of composite coating was performed, which will be described in following section.

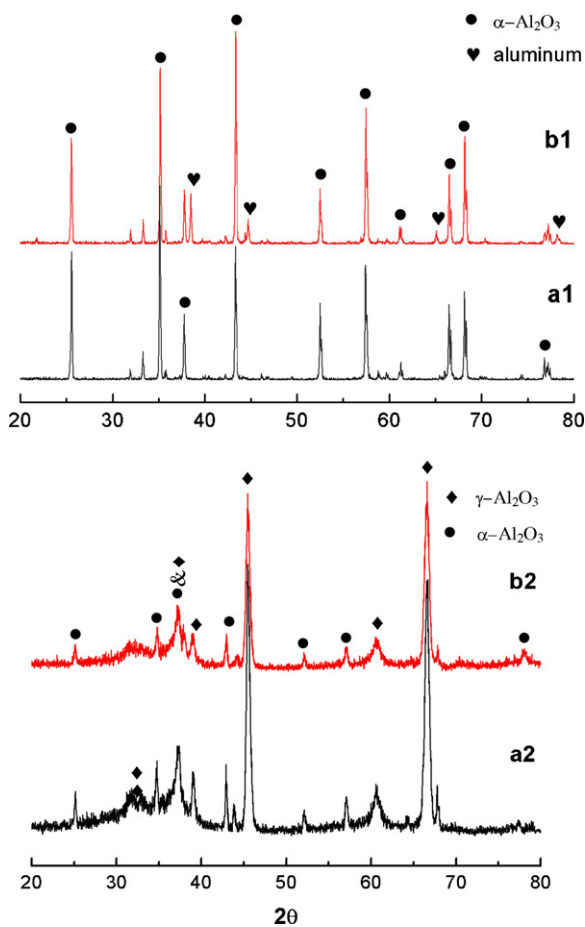


Fig. 6. X-ray diffraction patterns of the powders and as-sprayed coatings: (a1) Al_2O_3 powder; (a2) Al_2O_3 coating; (b1) Al_2O_3 -Al powder and (b2) Al_2O_3 -Al coating.

3.2. Microstructure of coatings

Fig. 7 shows the optical micrographs of individual and multiple droplets impacted on the unheated quartz substrate. It can be clearly seen that the impacted Al_2O_3 droplets exhibit a highly fragmented and splashing morphology with projections arising from the periphery. In comparison, the composite specimen presents relatively intact disk-like shape morphology with limited splashing, indicating a rather good particle flattening [22] and high deposition efficiency [23]. As shown in Table 2, the deposition efficiency of Al_2O_3 -Al composite coating (49%) increased by about 30% compared with that of Al_2O_3 coating (37%). It is well known that upon impingement onto the cold substrate surface, the droplets lose their kinetic and thermal energies and thus experience ultrarapid solidification and concurrent high strain-rate deformation. It has also been pointed out that during spraying the ductile metallic particles such as aluminum can retain their plasticity for a sufficient time and generally conform to the local geometry of the surface [24]. On the other hand, a large amount of experimental and numerical works with respect to the droplet-substrate interactions and interfacial heat transfer have been carried out [25–30]. It is suggested that the droplet spreading and splashing are sensitive to numerous factors including the evaporation of adsorbed gases on substrate surface [25,26], molten state (in-flight characteristics) of droplet prior to impact [27], initiation or localized solidification due to weak and uniform thermal conduction between impacted droplet and substrate [28] and substrate properties (i.e., materials, surface roughness and temperature) [27,29,30]. Herein, the improvement of droplet splashing can be explained in terms of the Al addition for its well ductility and thermal conductivity, though the origin of these impinging droplet morphology changes is not clear at this time requiring more experimental and theoretical analysis.

The microstructural investigation of Al_2O_3 and Al_2O_3 -Al composite coatings is shown in Figs. 8–10. Fig. 8 illustrates the cross-sectional morphologies of both coatings. It shows that the composite coating possesses homogeneously dispersed porosity and pores indicated by the black area in the microstructure are small with a few exceptions, besides, no macro-cracking is evident. However, in case of the Al_2O_3 coating, higher porosity with some big distinguished volumetric pores is observed (Fig. 8a), which are confirmed by the image analysis results that the porosities of Al_2O_3 and Al_2O_3 -Al composite coatings are 8.3% and 6.4%, respectively (Table 2). Since it is not very distinguished and compellent to validate the existence of Al phase only by composition contrast from backscattered electron micron micrographs (Fig. 8b), the elemental analysis of WDS was performed to examine the distribution of Al phase within composite coating. Fig. 9 clearly demonstrates the content distribution of each element on the matrix map. Areas with very high content of Al and little O elements, or with little content of both two elements, are considered to be Al phase and cracks or pores, respectively. Accordingly, the positions of pores or micro-cracks are identifiable and the co-sprayed fully melted Al particles are deemed to be dispersed in the boundaries

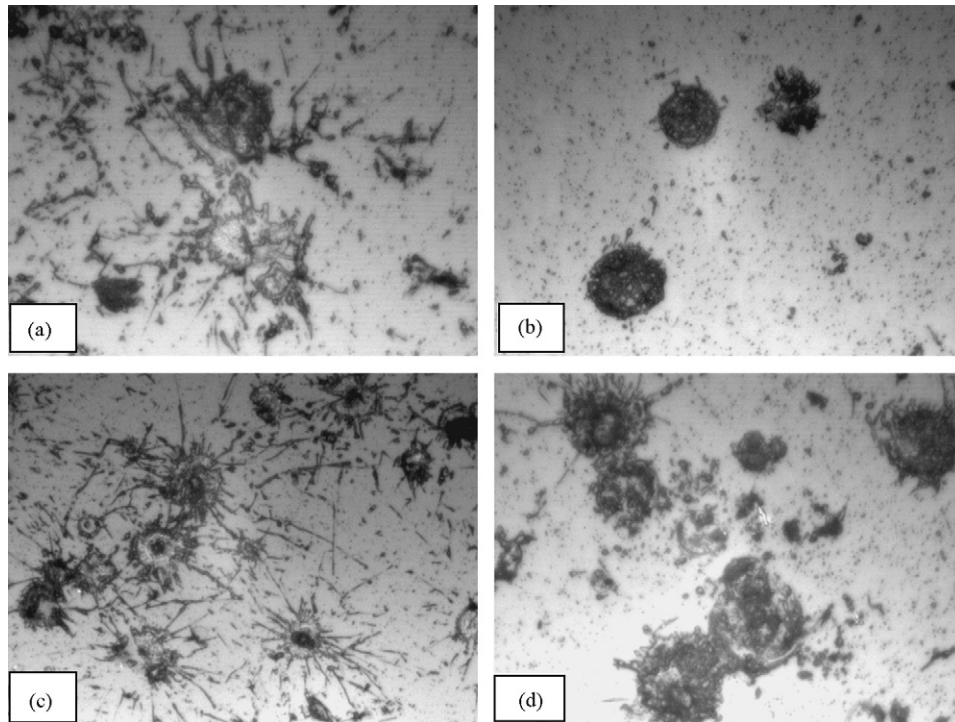


Fig. 7. Optical micrographs of individual and multiple droplets impacted on unheated quartz glass substrate: (a, c) Al_2O_3 and (b, d) Al_2O_3 -Al specimens (Magnifications, 200 \times).

between Al_2O_3 phase and pores or micro-cracks, as well as in the splat border (see Fig. 8b and Fig. 9). In addition, it seems that the Al phase did not uniformly distribute along the all lamellar interface and the Al inclusions in the coating were relatively rather thick than that is expected from its composition of 5% and cladding feature on Al_2O_3 . This can be understood that the melted Al on the Al_2O_3 surface may partially aggregate and separate with Al_2O_3 center owing to de-bonding of composite powders during in-flight for their different density and the evaporation of organic binder.

It is well known that in thermal spray the deposit is built up by successive impingement of the liquid or partially melted droplets onto substrates. The flattening and spreading of the impacting droplets, the 'brick' for the build up of the deposit, will ultimately determine the microstructure and properties of the deposit [31–33]. Good spreading and deformation of impacted droplet will lead to dense microstructure and developed inter-bonding between splats due to more contact points [16,34,35]. This is consistent with the comparison of fractured morphologies of coatings, as shown in Fig. 10 that compared with Al_2O_3 coating, the Al/ Al_2O_3 composite coating

possesses more distinct lamellar microstructure and improved splat interface, which is mainly attributed to its improved spreading and limited splashing (Fig. 7b, d).

3.3. Mechanical properties

Table 3 exhibits mechanical properties of Al_2O_3 and Al_2O_3 -Al composite coatings. It can be known from Table 3 that the Vickers hardness of Al_2O_3 -Al composite coating is around 845 $\text{Hv}_{0.2}$, which is slightly lower than that of the Al_2O_3 coating (870 $\text{Hv}_{0.2}$). However, increase in fracture toughness of composite coating compared with Al_2O_3 coating is up to 20%. It is well known that the Al_2O_3 ceramic is hard and brittle phase. Stress concentration and fine cracks easily form in the hard and brittle Al_2O_3 ceramic when the composite coating sustains impact and stress [10]. Metal Al, having excellent toughness, can restrain cracks expanding in virtue of stress releasing. To a certain extent, the multiphase metal Al and

Table 2
Porosity, deposition efficiency and thermal diffusivity of plasma-sprayed Al_2O_3 and Al_2O_3 -Al composite samples

Samples	Porosity (%)	Deposition efficiency (%)	Thermal diffusivity at 200 °C ($\times 10^{-3} \text{ m}^2/\text{s}$)
Al_2O_3 coating	8.3	37	10.4
Al_2O_3 -Al composite coating	6.4	49	13.3

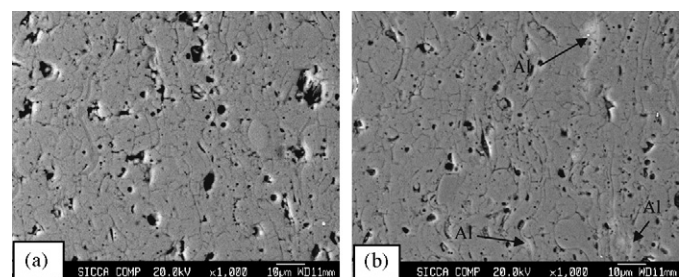


Fig. 8. Cross-sectional morphologies of the as-sprayed (a) Al_2O_3 and (b) Al_2O_3 -Al composite coatings.

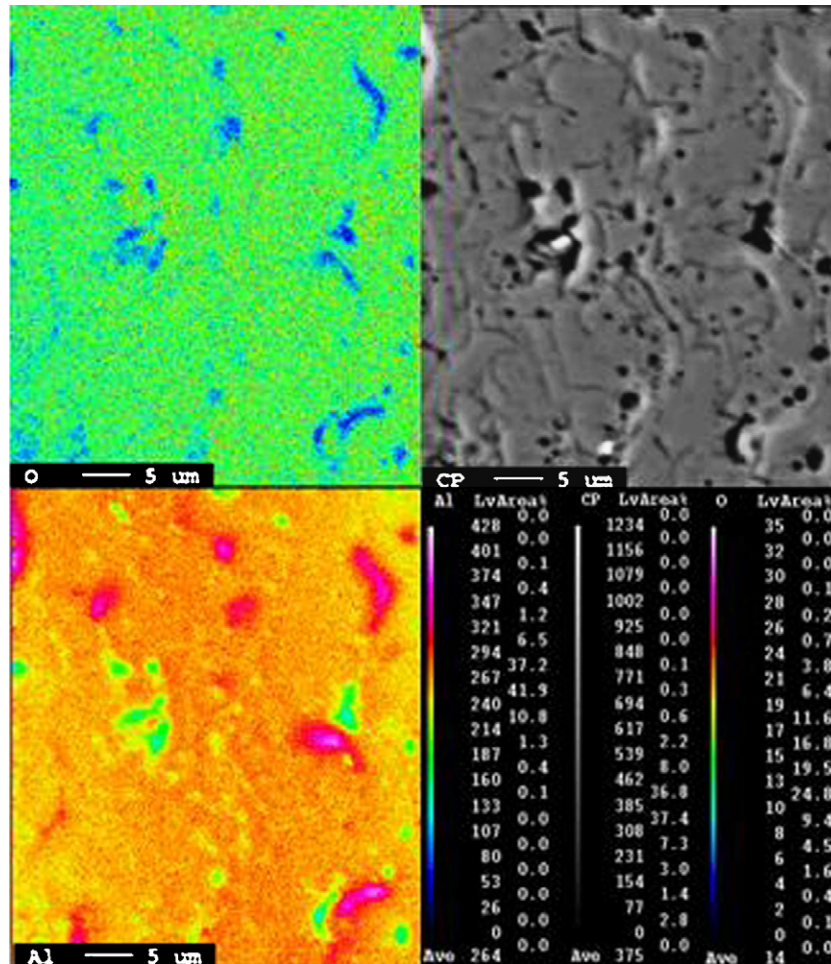


Fig. 9. SEM and WDS analysis of the cross-section of Al_2O_3 -Al composite coatings.

Al_2O_3 ceramic coexistence can decrease the brittleness and improve the toughness of the Al_2O_3 -Al composite coating.

It also can be seen that the adhesion and bending strength of the Al_2O_3 -Al composite coating are 28.8 and 172 MPa, while that of Al_2O_3 coating are 22.6 and 147 MPa. The results obtained showed that the adhesion and bending strength of the composite coating, compared to Al_2O_3 coating increased by about 27% and 17%, respectively. It is generally accepted that in thermal spray deposit, there is limited real contact between the rapidly solidifying splats and the actual area of contact has been estimated at only around 20%, resulting in weak interface

between splats [20,36]. A recent study on the lamellar bonding of plasma-sprayed Al_2O_3 coating suggested that the coating strength shows high dependence on the inter-bonding between the flattened droplets [37]. Concerning the nature of inter-bonding between flattened droplets, several studies have been carried out and a probable model advanced by Mcpherson [7] has been approved to a great extent that the inter-bonding is determined by a great deal of small distributed contact points between two solid surfaces. So, based on this model the cohesion between splats within Al_2O_3 -Al composite coating is reasonably developed due to more contact points between well

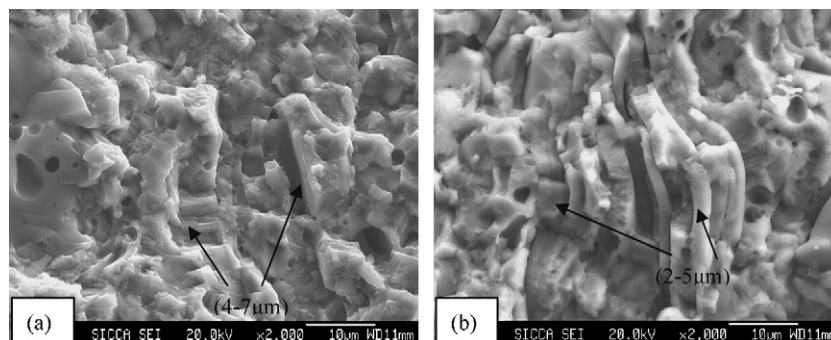


Fig. 10. SEM image of fractured morphologies of as-sprayed (a) Al_2O_3 coating and (b) Al_2O_3 -Al composite coatings.

Table 3
Mechanical properties of Al₂O₃ and Al₂O₃–Al composite coatings

Samples	Microhardness (Hv _{0.2})	Fracture toughness (MPa m ^{1/2})	Bending strength (MPa)	Adhesion strength (MPa)
Al ₂ O ₃ coating	870 ± 60	2.7 ± 0.2	147.0 ± 9.2	22.6 ± 2.8
Al ₂ O ₃ –Al coating	845 ± 72	3.3 ± 0.3	172.0 ± 11.0	28.8 ± 3.2

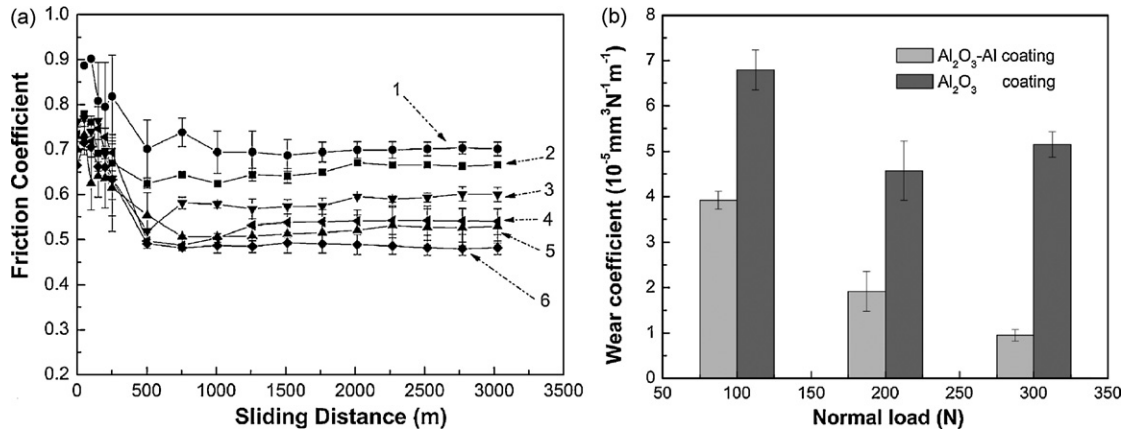


Fig. 11. Friction coefficient (a) and wear rate (b) of Al₂O₃ and Al₂O₃–Al composite coatings against stainless steel by block-on-ring configuration (1, 3, 5 and 2, 4, 6 in (a), respectively, represent the friction coefficient of Al₂O₃ and Al₂O₃–Al composite coatings under 100, 200, and 300 N and velocity of 0.84 m/s for 1 h duration).

flattening splats, as shown in Fig. 10, which may account for the better strength of Al₂O₃–Al composite coating superior to that of Al₂O₃ coating.

3.4. Wear resistance

Fig. 11 shows the friction coefficient and wear rate of Al₂O₃ and Al₂O₃–Al composite coatings against stainless steel as a function of different load using block-on ring arrangement. From Fig. 11, the Al₂O₃–Al composite coating possesses lower friction coefficient and wear rate than that of Al₂O₃ coating under the same conditions. The experimental results indicate that compared with Al₂O₃ coating, the composite coating exhibits better wear resistance. Numerous works have been

carried out to realize the high dependence of coating wear resistance of plasma-sprayed coatings on its mechanical properties such as hardness, toughness and adhesion strength [38,39]. Despite the fact that pure Al₂O₃ coating has higher hardness, it is brittle phase in which macro-cracks easily come into being and expand under higher loads. In addition, tribological heat produced by friction during testing is difficult to diffuse and often concentrates on the real contact area of friction pairs, raising the temperature of the coating quickly and accelerating the wear of the ceramic coating. However, metal Al in the composite coating is an excellent conductor of heat, so the temperature of the composite coating remains relatively low even when the load rises to 300 N (see Fig. 12). Thus, the superior wear resistance of Al₂O₃–Al composite coating to that of Al₂O₃ coating can be attributed to its improved toughness, strength (Table 3) and thermal diffusivity (Table 2).

4. Conclusions

Al₂O₃ and Al₂O₃–Al composite coatings were deposited by plasma spraying. The Al additive effects and the correlation between coating microstructure, mechanical properties and wear resistance were investigated. The following points were found:

1. The addition of Al on Al₂O₃ particle surface is beneficial to decrease the splashing extent of the Al/Al₂O₃ composite droplet and increases its deposition efficiency. And the dense microstructure and developed inter-splat contact can be obtained for the Al/Al₂O₃ composite coating.
2. The Al₂O₃–Al composite coating possesses homogeneously dispersed pores and the co-sprayed Al particles are considered to be distributed in the splat boundary. Compared

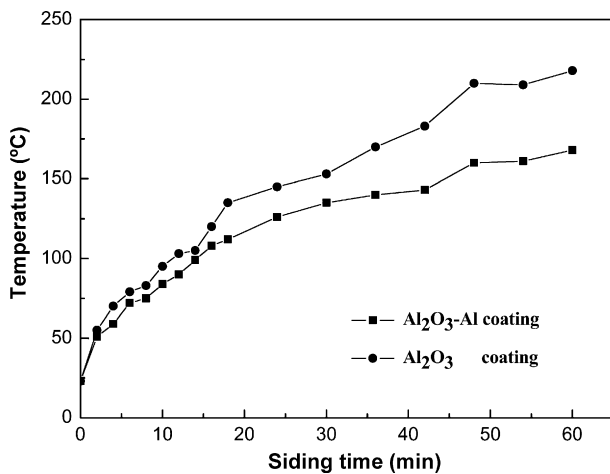


Fig. 12. The measured temperature characterizing tribological heat concentrated on the real contact of friction pairs under the load of 300 N using a block-on-ring configuration.

with Al₂O₃ coating, the composite coating shows slightly lower hardness, whereas the coexistence of metal Al phase and Al₂O₃ ceramic phase effectively improves the toughness and strength of the coating.

- The wear resistance of Al₂O₃–Al composite coating is superior to that of the Al₂O₃ coating under the same conditions using block-on-ring configuration. The improvement in anti-wear property of the composite coating can be attributed to its developed toughness, strength and thermal diffusivity, contributing to alleviate the concentration of tribological heat on real contact of friction pairs.

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